

# **Concentrating Solar Power: Progress and Trends**

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Jacobs School of Engineering**



**Triton SPIE/OSA  
February 12, 2009**

- **Introduction to Solar Power**

- *Solar Thermal Power Generation*
- *Photoelectric Effect and CPV*

- **Position of the Sun**

- *Sun-Earth Geometry*
- *Solar Tracking*

- **Concentrator Design**

- *Nonimaging Optics*
- *Low and High Concentration Examples*

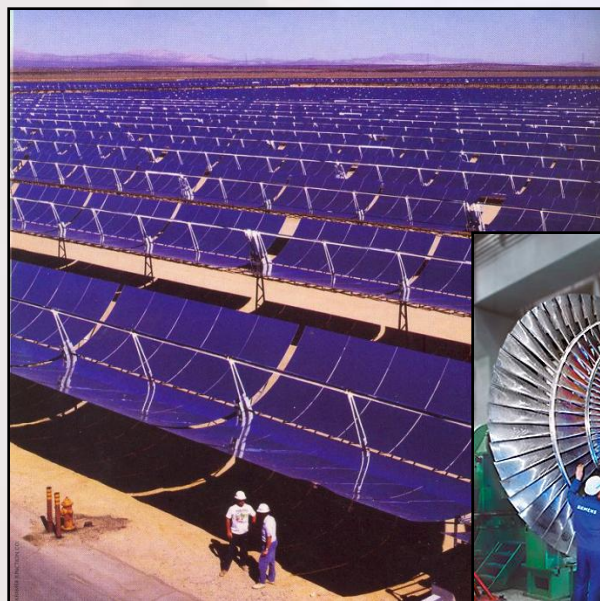
- **CPV Trends and Research**

- *Multijunction Solar Cell Efficiency*
- *Test Installations*
- *Research and Opportunities*



## Concentrating Solar Power (CSP)

- Use sun's heat energy
  - Steam generation
  - Electricity via turbines
- Applications:
  - Utility grid power plants
  - Solar heating/lighting
  - Solar cooking



Siemens Power Generation



[www.solaq.eu](http://www.solaq.eu)

## Concentrating Photovoltaics (CPV)

- Use light photons
  - Photoelectric effect
  - Direct conversion to electricity
- Applications:
  - Utility grid power plants
  - Off-grid power generation
  - Small-scale power

[www.slashphone.com](http://www.slashphone.com)



Batir Development



# Concentrated Solar Power (CSP)



- Concentrate thermal radiation to generate steam
- Thermal storage medium
  - Water, iron ore, molten salt, liquid metal (sodium)
- Steam generators, turbines, sterling engines
  - ~12% solar-to-electric conversion efficiency

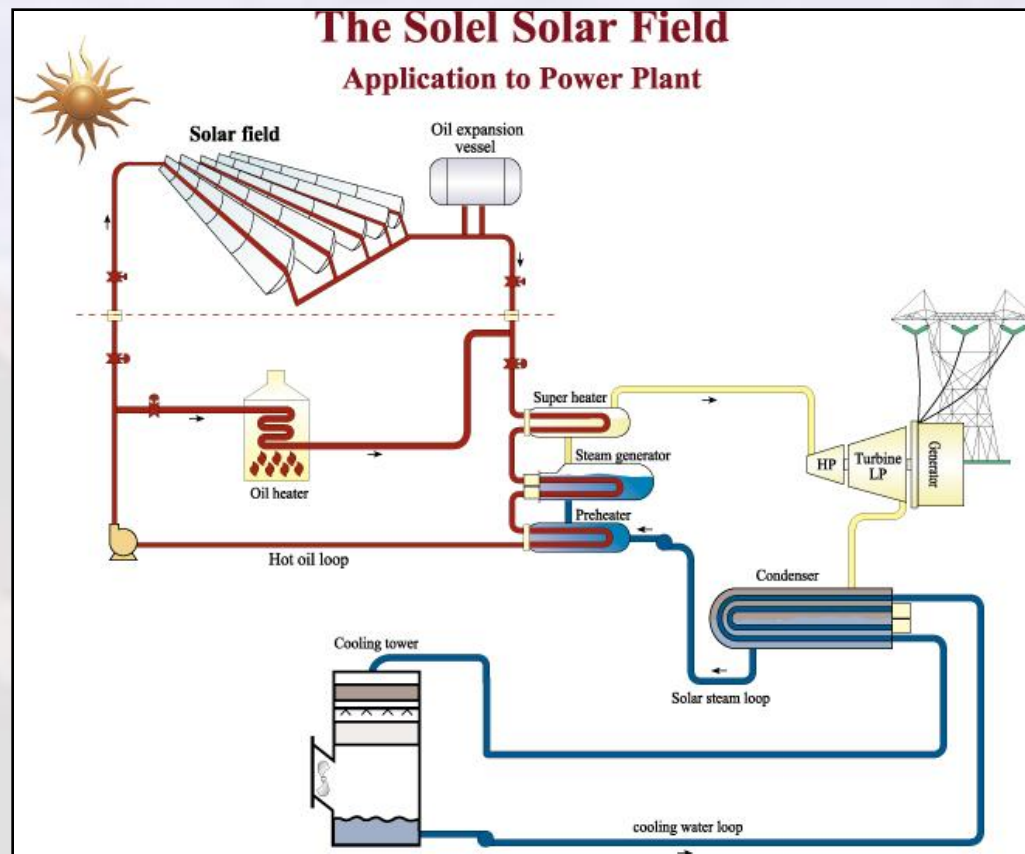
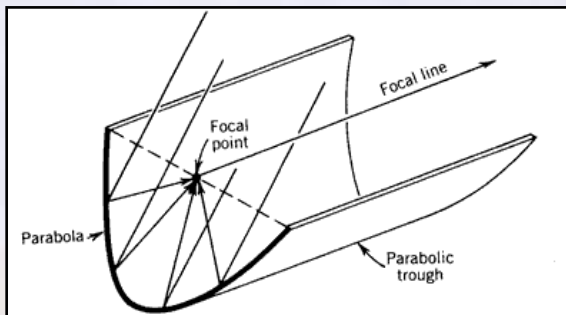


Diagram courtesy of:  
Solel Power Generation





- Parabolic Dish Installations
  - 1-dimensional line focus track East-West
  - 9 plants in California generating 354 MW



Powerfromthesun.net



Schott Solar



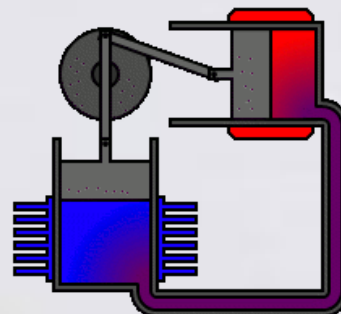
Solel Power Generation



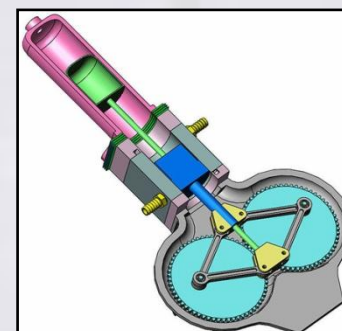
Kennyjacob.net

## • Sterling Engine

- Convert heat directly into mechanical energy
- Compress/expand gas within cylinders



By Richard Wheeler 2007



Nationmaster.com/sterling-engine

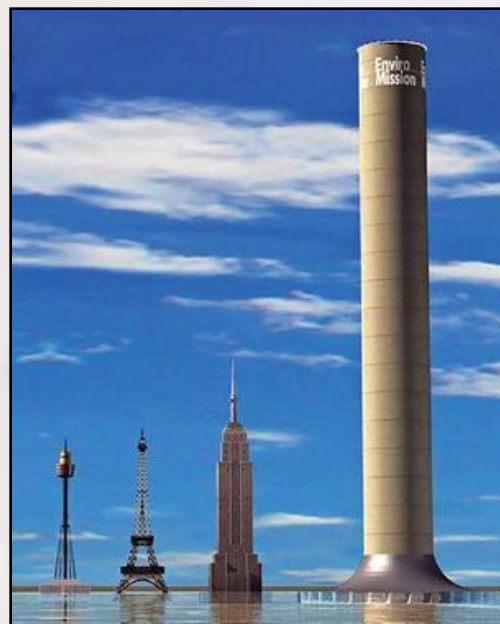


- Solar Tower
  - Heliostats reflect energy to central tower
  - Steam generation
  - Solar 2: Barstow, CA
  - PS10: Seville, Spain



*Energiaspain.com*

*Energy.ca.gov*



- Solar Updraft Tower (*concept only*)
  - Heat air to induce convection
  - Moving air drives turbines

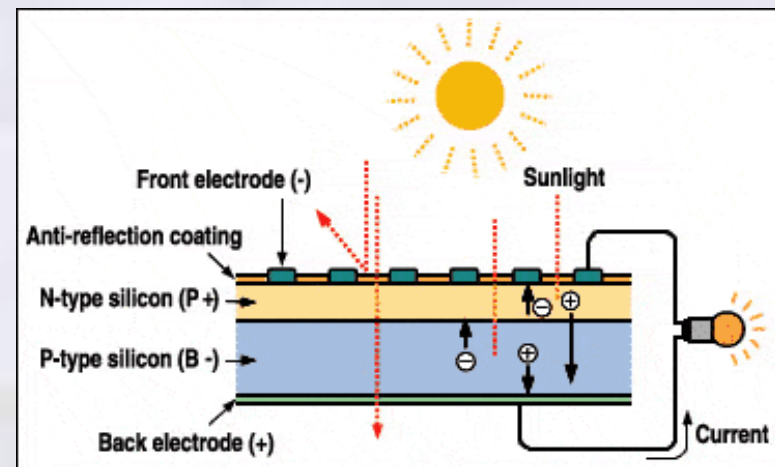


*Images courtesy of Enviromission.com*

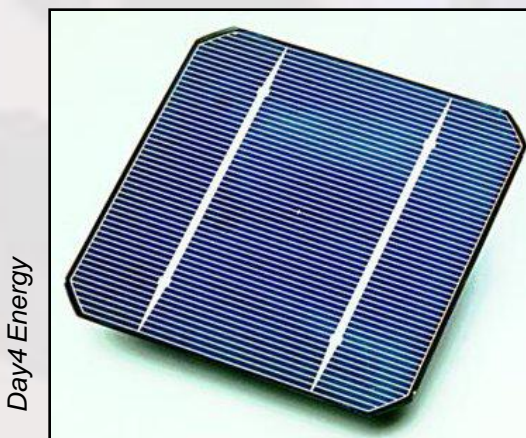




- *Photoelectric Effect (1902):*
  - Photon energy is transferred to semiconductor lattice
  - Electrons excited into conduction band
  - Charge carriers move via drift (static E-field) or diffusion
- Photons must span the material bandgap
  - Lower energy photons pass through cell
  - Excess energy dissipated as heat
- P-N junction creates E-field to move carriers to cell contacts
- Current generating device
  - >120mA at 1V



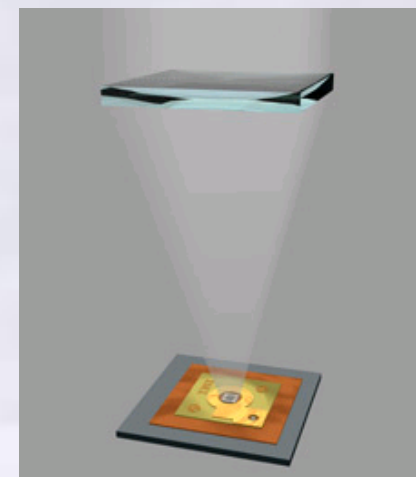
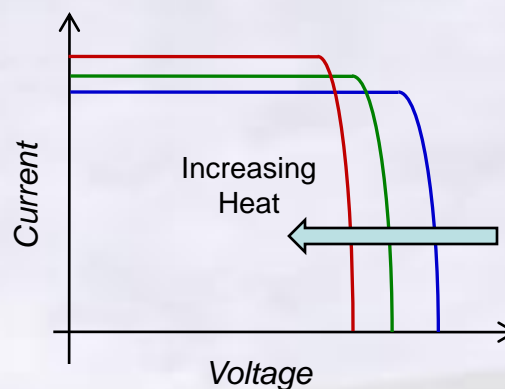
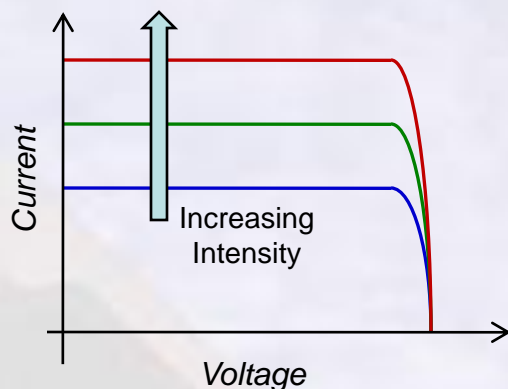
Girasolar.com.tr



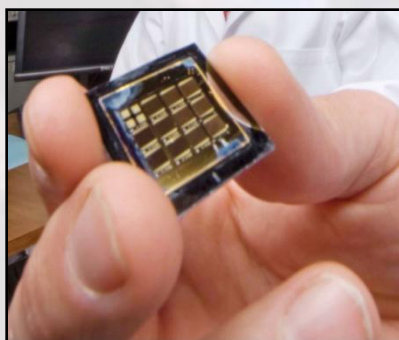
Cells are mounted in series to increase voltage and parallel to add current. Modules consist of 24-36 cells outputting upwards of 200W.



- Increased light intensity:
  - Increases photocurrent (additional photons)
  - Reduces open-circuit voltage (increased heat)
- Negligible real-world efficiency gain



Reuk.com.uk



Colorado Energy News

- CPV motivation: reduce system cost
- Replace expensive semiconductors with inexpensive lenses/mirrors
- Incorporate small-area, high-efficiency solar cells





## Type I: Crystalline Silicon



Photo courtesy of Kyocera

### 15-18% Efficiency

- Mono- or Polycrystalline
  - Crystalline Lattice
  - Indirect Bandgap
  - >100 $\mu$ m Thickness
- Direct and diffuse sunlight
- Suppliers:
  - Kyocera
  - Sharp
  - Mitsubishi

## Type II: Thin Film



Photo courtesy of Global Solar

### 6-12% Efficiency

- 10 $\mu$ m Active Layer
  - Amorphous Silicon
  - CdTe, CdS, CIGS
  - Direct Bandgap
- Rigid or flexible substrate
- Suppliers:
  - First Solar
  - NanoSolar
  - Global Solar

## Type III: Multijunction

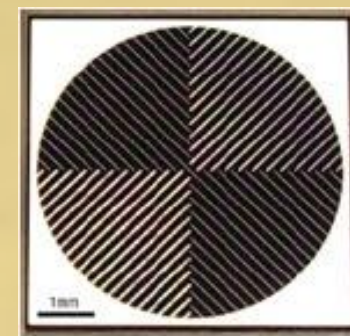


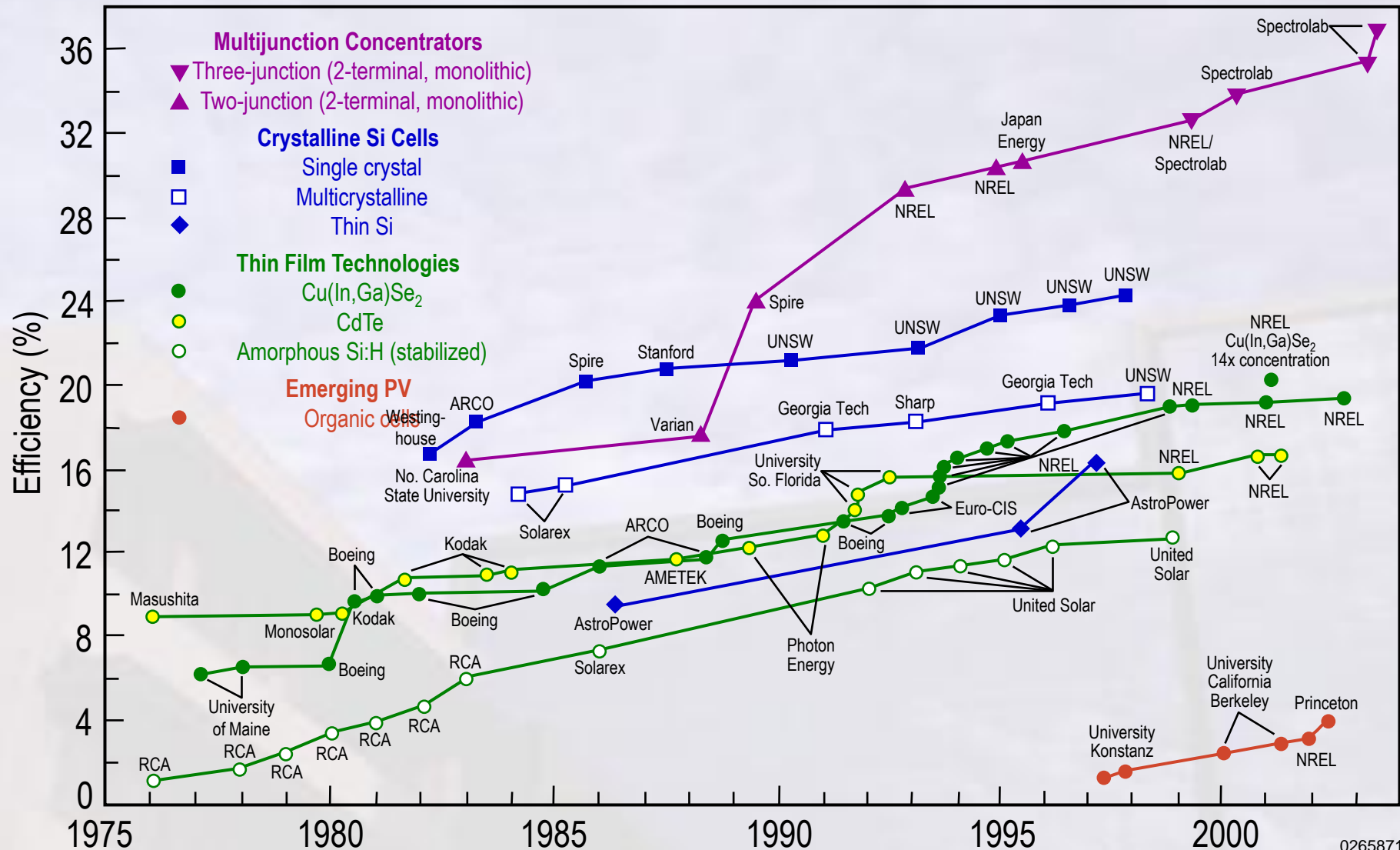
Photo courtesy of Spectrolab

### >40% Efficiency

- 2- or more Bandgaps
  - Increased spectral response
  - GaInP – GaInAs – Ge
- High material/fabrication costs
  - Small cell area
  - Flux Concentration
- Suppliers:
  - Spectrolab
  - Emcore



# Best Research-Cell Efficiencies





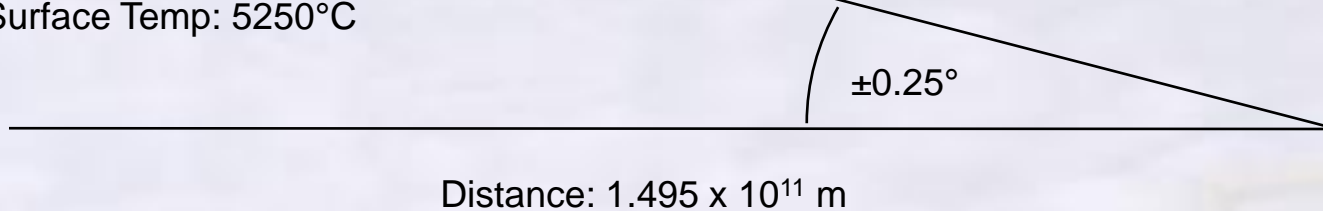
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Sun:  
 Diameter:  $1.39 \times 10^9$  m  
 Surface Temp:  $5250^\circ\text{C}$

Earth:  
 Diameter:  $1.27 \times 10^7$  m  
 Surface Temp:  $14.6^\circ\text{C}$

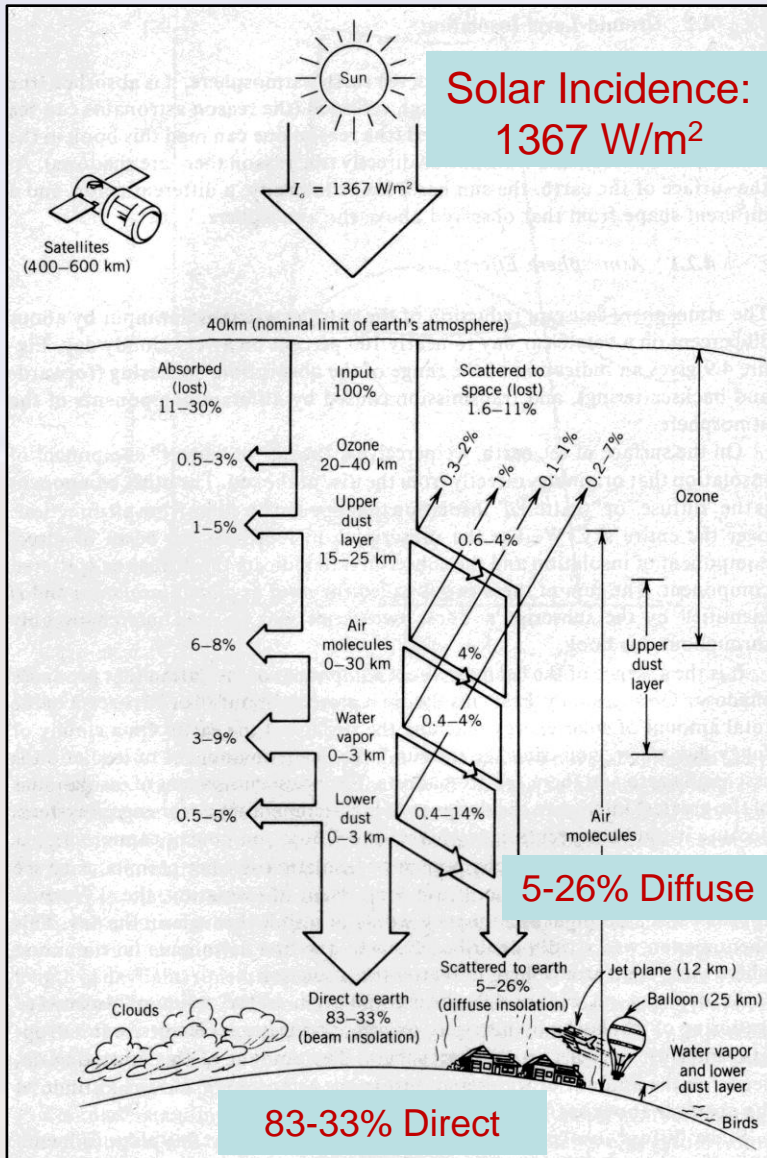


- Theoretical Limit of Concentration
- 2<sup>nd</sup> Law of Thermodynamics: Heat generally cannot spontaneously flow from a material at lower temperature to a material at higher temperature  
 – Receiver cannot exceed the temperature of the sun

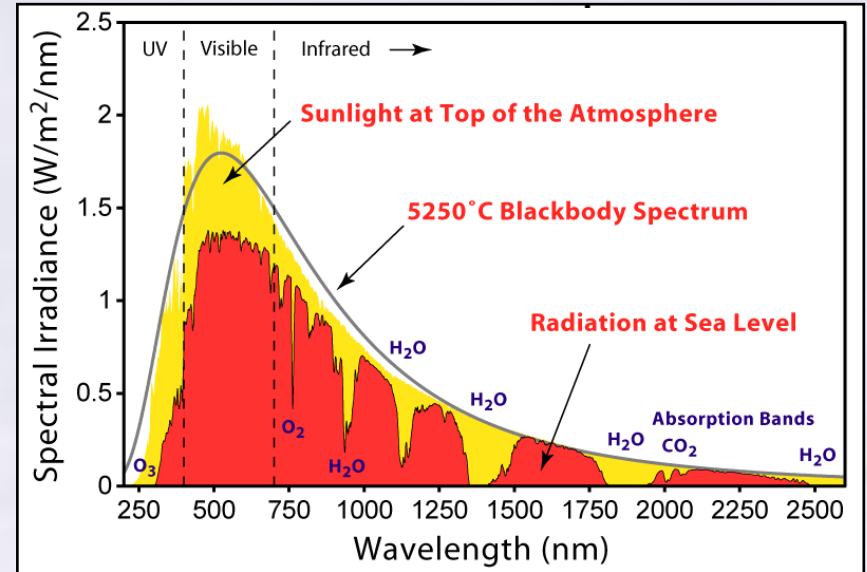
$$C_{2D} = \frac{\text{Input Area}}{\text{Cell Area}} = \frac{1}{\sin \theta_{sun}} = 213 \quad C_{3D} = \frac{1}{\sin^2 \theta_{sun}} = 45,300$$

Note: Concentration ratio may be increased by placing the receiver within a dielectric

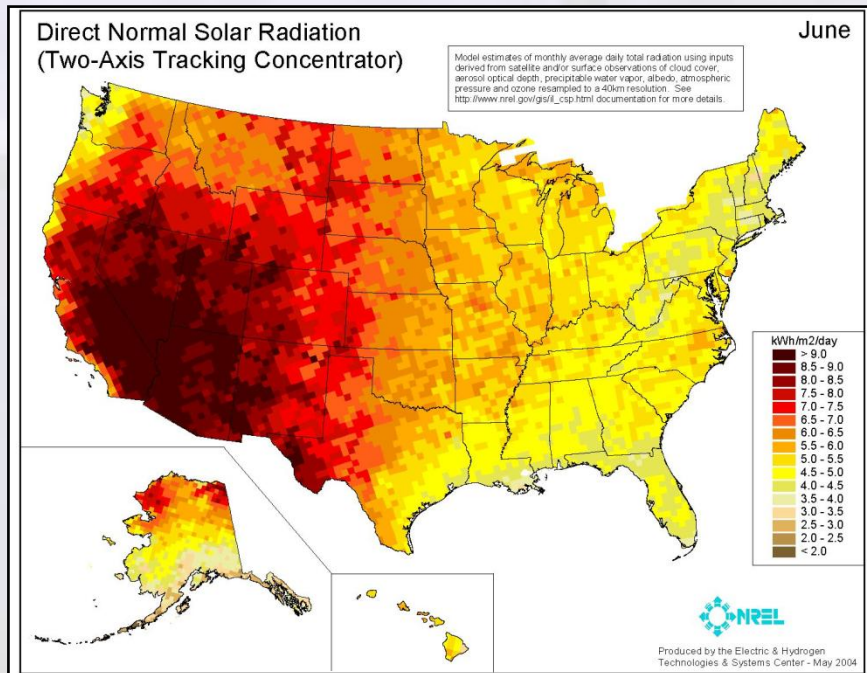
# Solar Radiation



W. Stine, *Solar Energy Fundamentals*, Wiley (1985)



Globalwarmingart.com



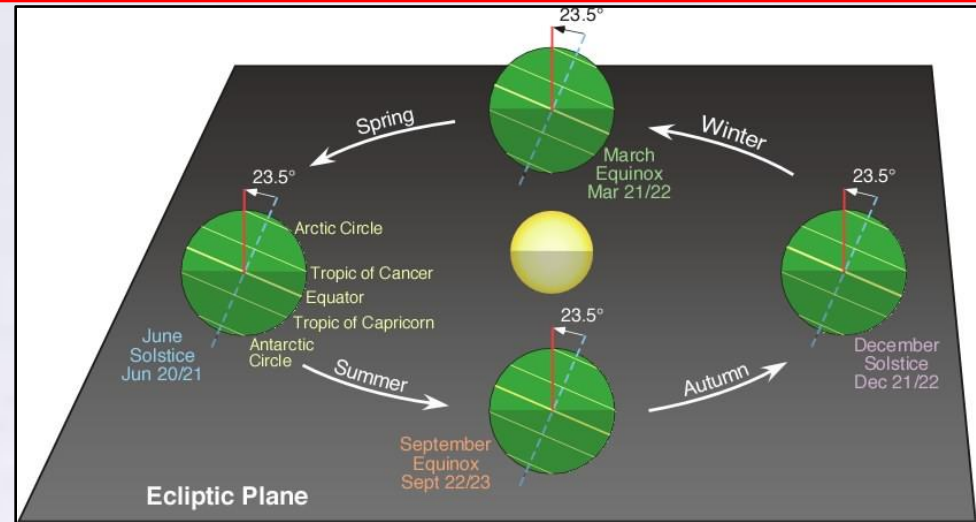
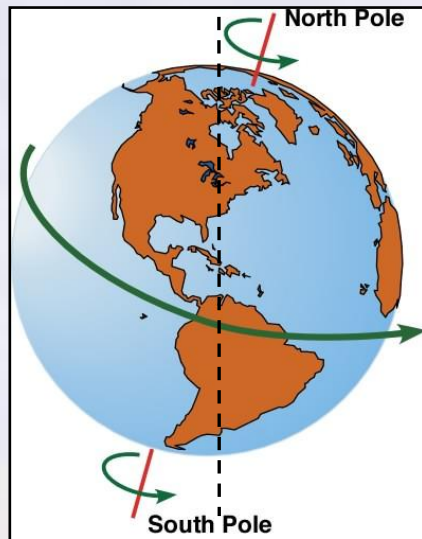
NREL.gov/gis/solar.html



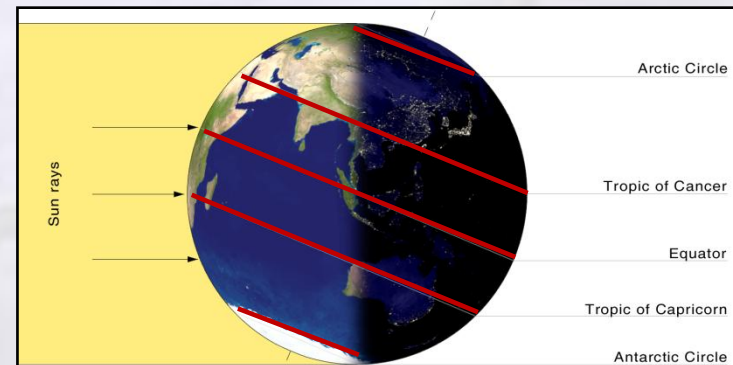
Produced by the Electric & Hydrogen Technologies & Systems Center - May 2004



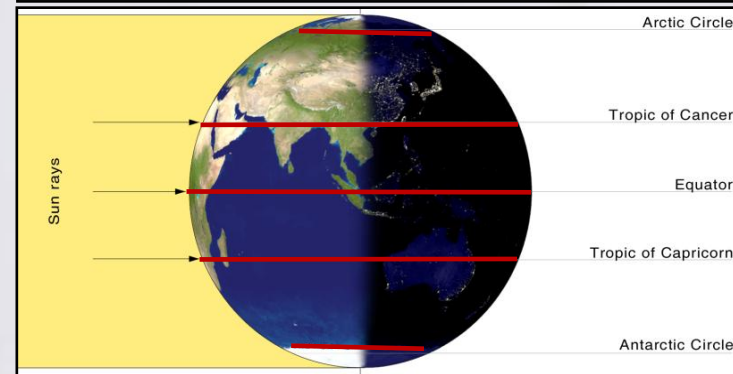
# Earth Motion



- 23.5° Declination angle
  - Accounts for seasonal variations
- Solstice: most oriented toward sun
  - Longest/Shortest days of the year
- Equinox: no tilt towards sun
  - Exactly 12hrs day/night
- Arctic Circle marks latitude (66.5°) for polar day/night (24 hrs)



Winter Solstice



Equinox

Images courtesy of physicalgeometry.net

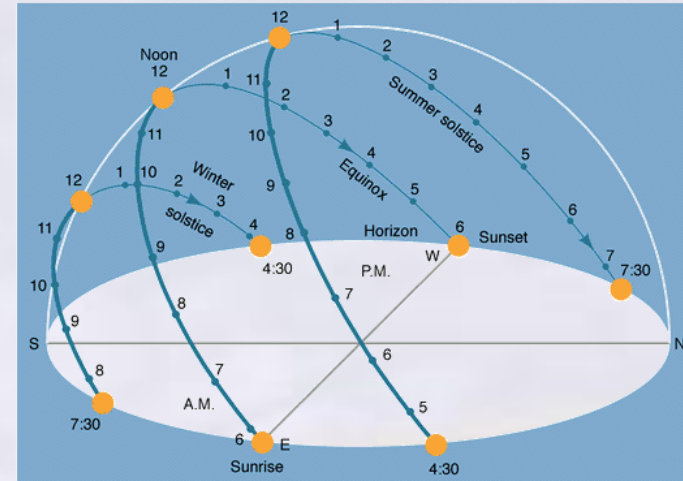
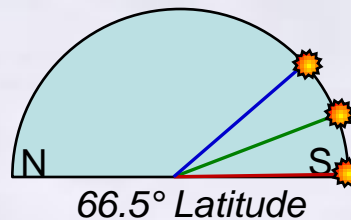
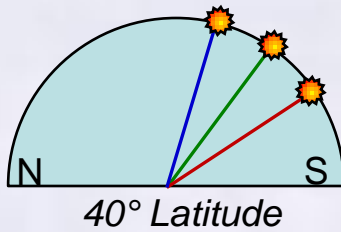
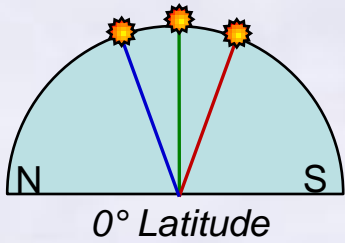




# Solar Elevation



- Latitude determines solar elevation
- 47° seasonal elevation variation ( $\pm 23.5^\circ$ )

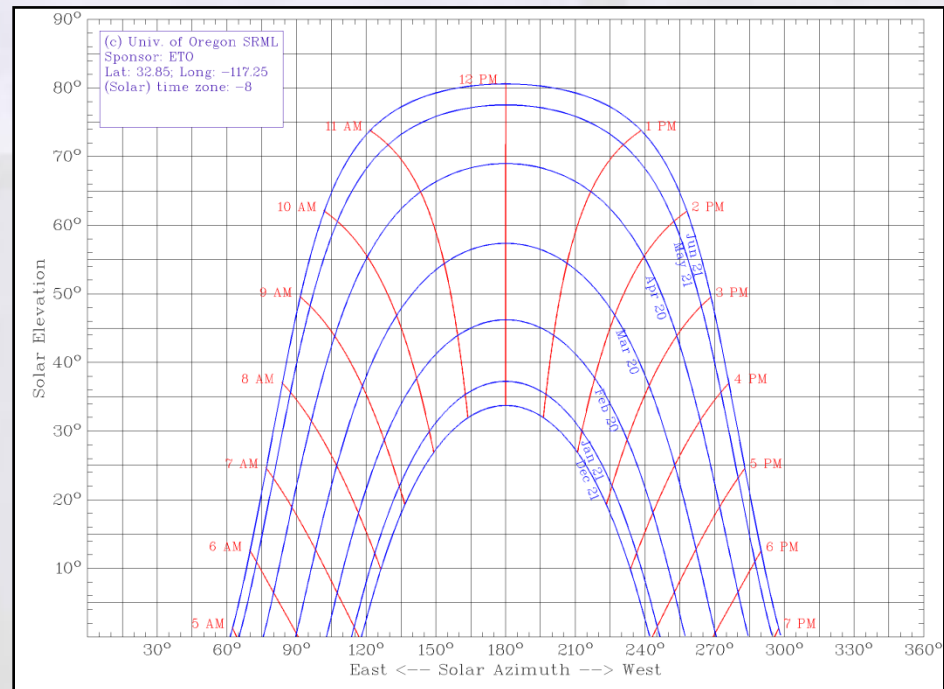


## Sun Chart:

- Solar Azimuth vs Elevation
- Plots daily and seasonal variation
- Defines angles needed for tracking

*Always orient fixed solar panels at:  
(90° minus installation Latitude)*

Sun Chart courtesy of University of Oregon,  
Solar Radiation Monitoring Lab



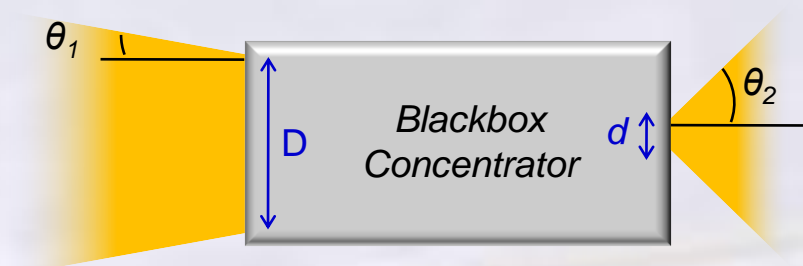
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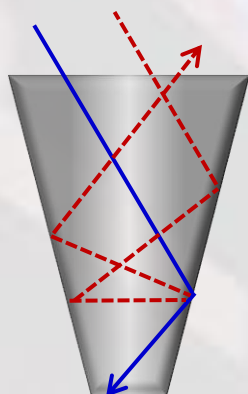
- Étendue: *product of entrance pupil and acceptance angle remains constant throughout all optical systems*

–  $D\theta_1 = d\theta_2$

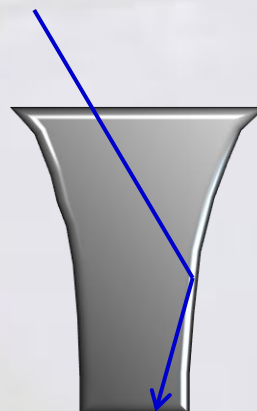
- Path length may not be maintained
- Minimum receiver size when  $\theta_2 = 90^\circ$ 
  - aberration free  $f/0.5$  lens



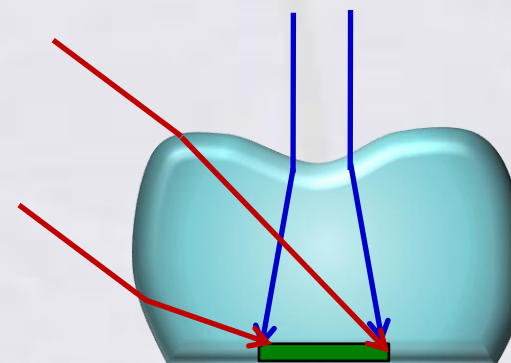
Cone Concentrator



Hyperbolic Trumpet



Free-form Optic

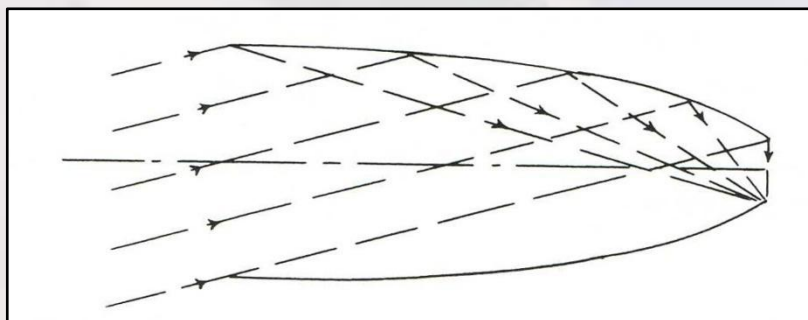
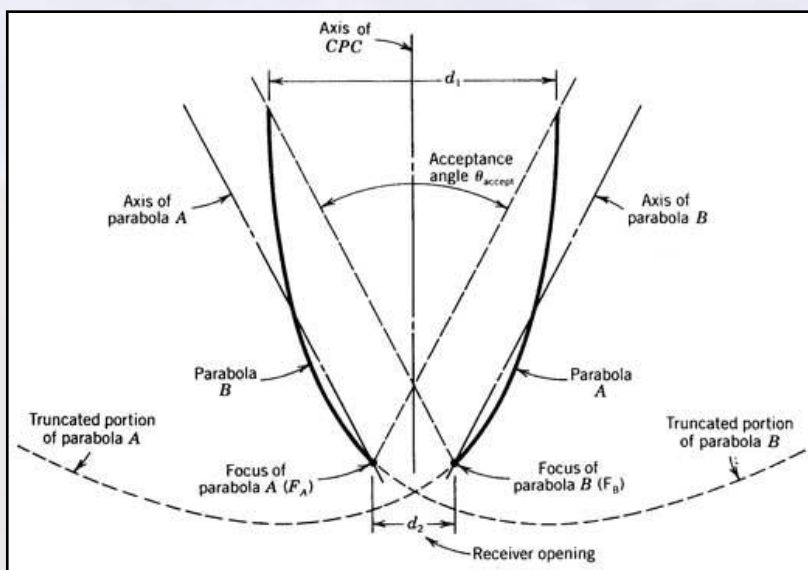
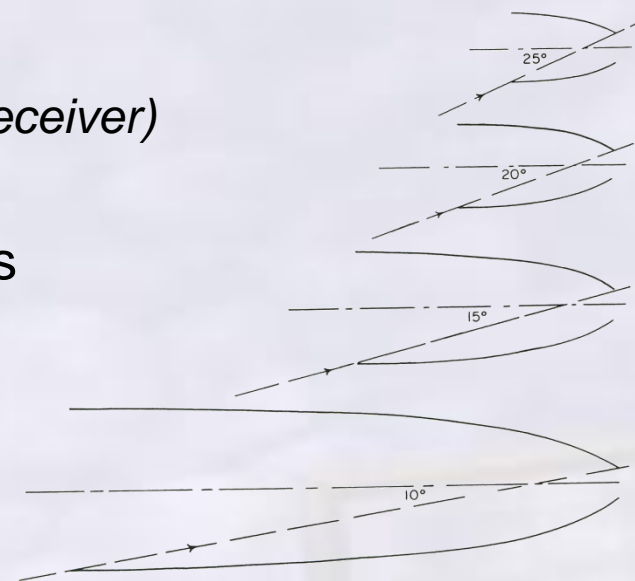






# CPC: Compound Parabolic Concentrator

- Achieves thermodynamic limit in 2D
- Very large aspect-ratio (*52m length for 1mm receiver*)
  - **<5x concentration in practical installations**
- Commonly used as secondary concentrators



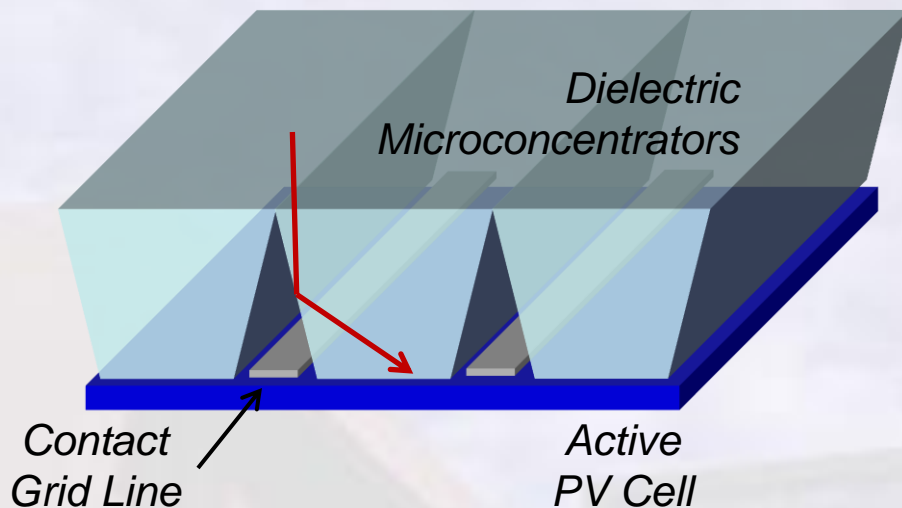
Lasergold.com



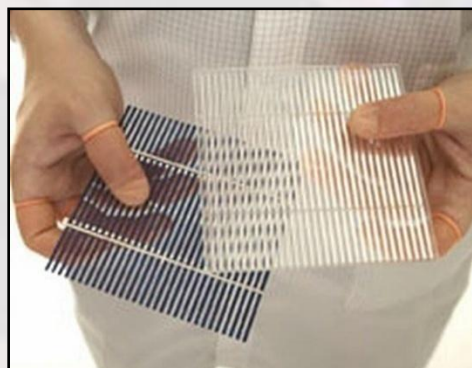
Paradigma.de



- Inexpensive, planar reflective structures
- Minimize gap losses between cells/modules
- Low Concentration ( $1.5\text{-}3\times$ )



[www.zytech.es](http://www.zytech.es)



[www.solaria.com](http://www.solaria.com)

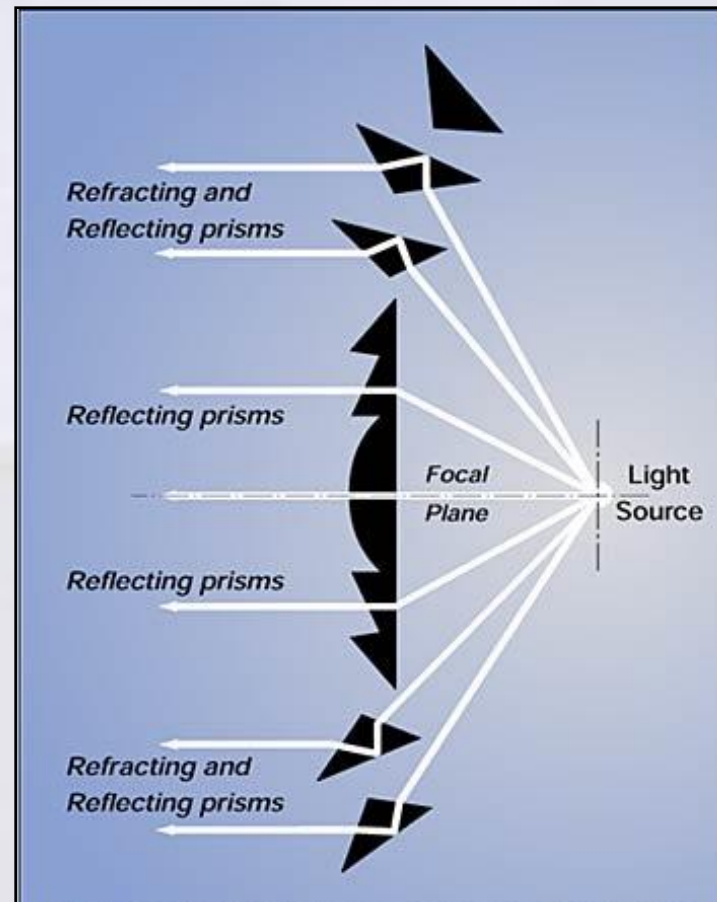
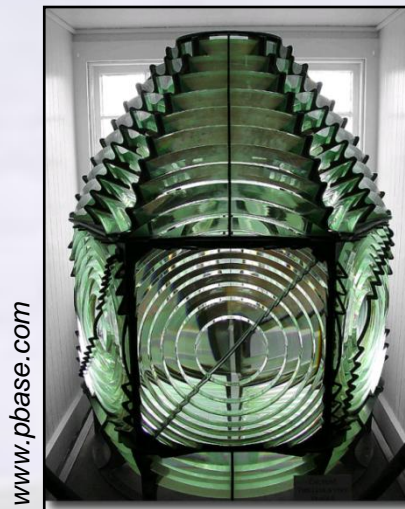
- *Solaria Corp. Fremont CA*
- *Zytech Solar, La Muela Spain*
- *Ben-Gurion University of the Negev, Israel*



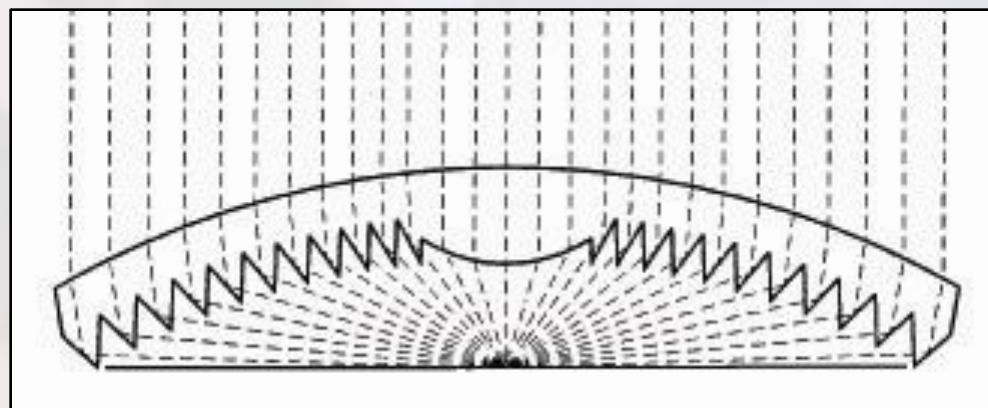
# Nonimaging Fresnel Lens

- Refractive facets in tandem with catadioptric prisms
  - Nonimaging due to unequal path lengths
  - Similar performance to reflective lenses without costly metallic coatings
- >1000x concentration
- Achieves very low  $f/\#$ 
  - Scattering losses
  - Prism blocking
  - Chromatic dispersion

*Nonimaging  
Fresnel Concentrator*



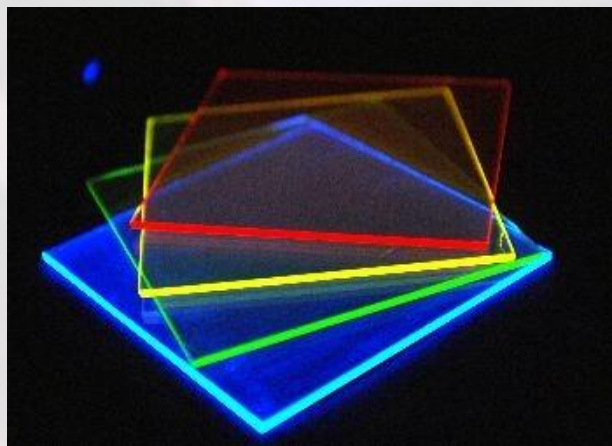
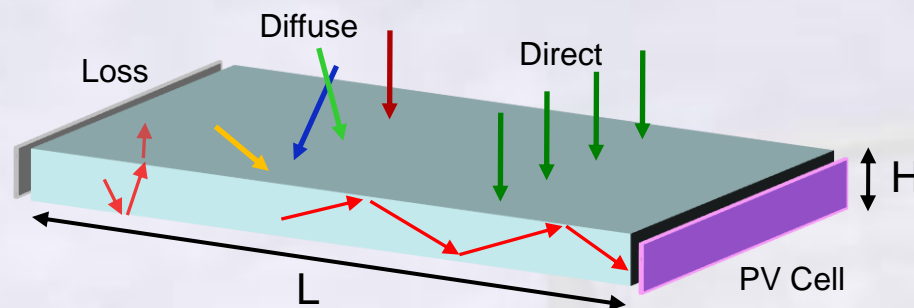
springpointlight.org







- Absorb solar radiation and reemit at longer wavelengths (Stoke's Shift)
- Embed guiding high-index slab with absorption medium
  - Fluorescent dye(s)
  - Quantum dots
- Collect guided light at slab edge
- Concentration = Length / Height
  - Energy loss from wavelength shift
  - Probability of reabsorption
  - Quantum efficiency
  - Loss due to omnidirectional reemission



[www.renewableenergyworld.com](http://www.renewableenergyworld.com)



[www.beseenonabike.com](http://www.beseenonabike.com)

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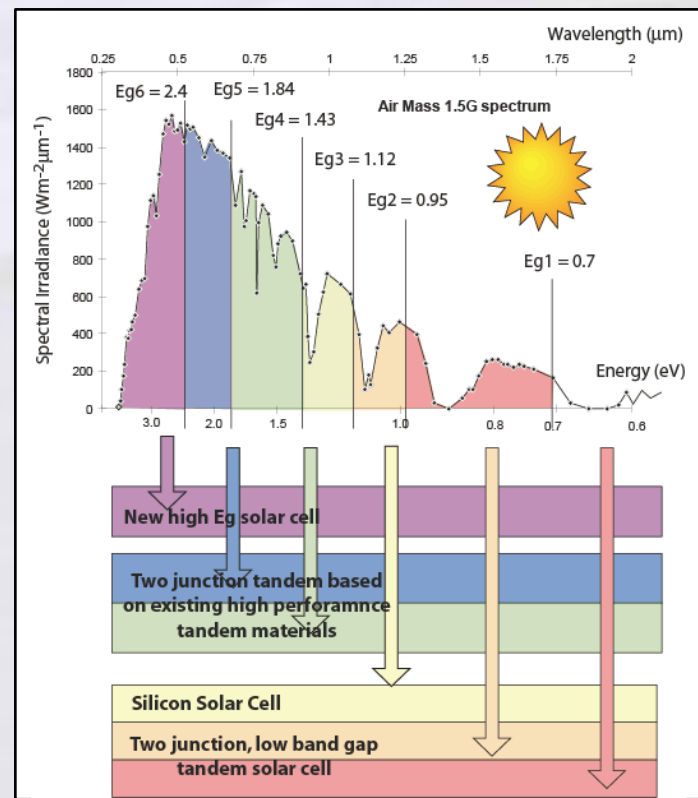
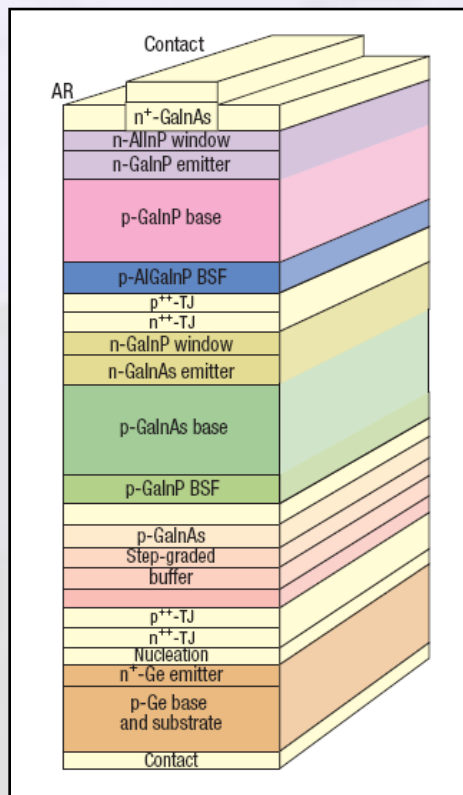


# Multijunction Solar Cells

- Increase number of discrete bandgaps
  - Improves spectral response and efficiency
  - 4 and 6 junction solar cell research
- Material lattice mismatch – defects
  - Metamorphic PV cells provide buffer layers
- Research for high-energy UV photocells
  - AlGaInP (2.2eV)



www.nasa.gov



Barnett, A. et al., "50% Efficient Solar Cell Architectures and Designs," Photovoltaic Energy Conversion, Conference Record of the 2006 IEEE 4th World Conference on , vol.2, no., pp.2560-2564, May 2006

R. King, "Multijunction Cells: Record Breakers," Nature Photonics, Vol 2, 284-286 (2008).



- **40.7% @ 240x**: Spectrolab Inc. lattice-matched triple-junction (2006)
- **41.1% @ 454x**: Fraunhofer ISE metamorphic triple-junction (2009)
- Solar cell flash test:
  - Impulse from flash lamp (variable “concentration”)
  - Does not account for heat or real concentrating optics
    - **4% efficiency loss per 10°C**
    - **85% typical optical efficiency of concentrator**

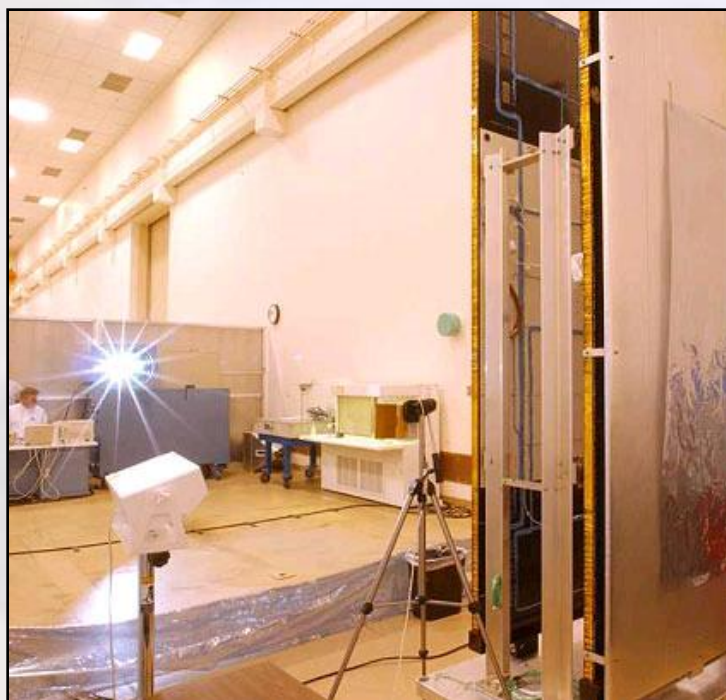
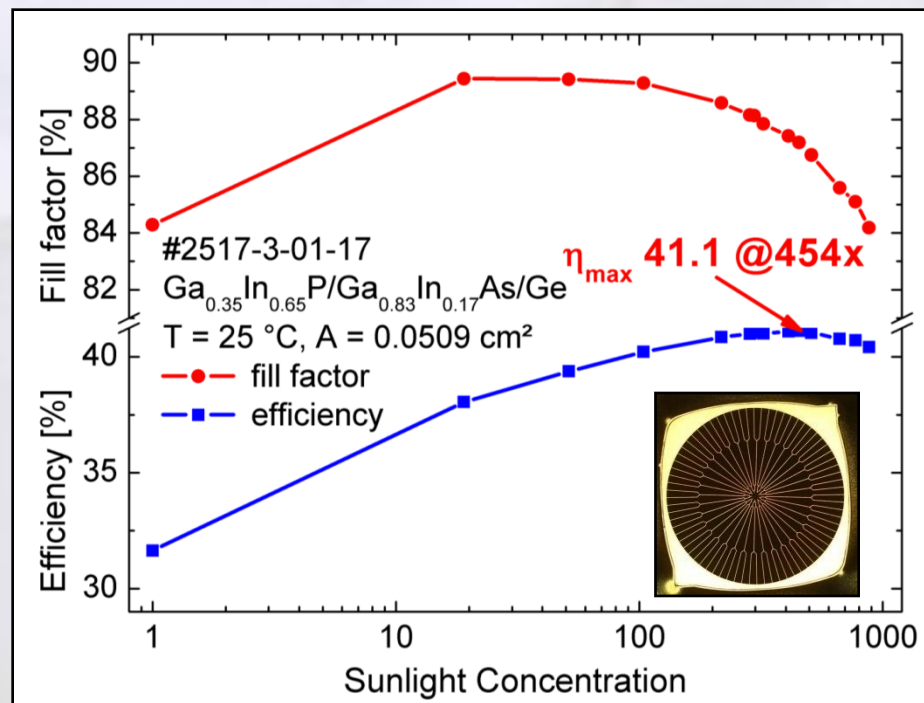


Image courtesy of NASA

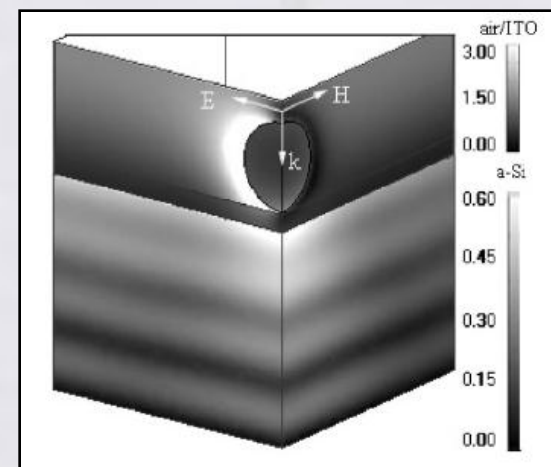
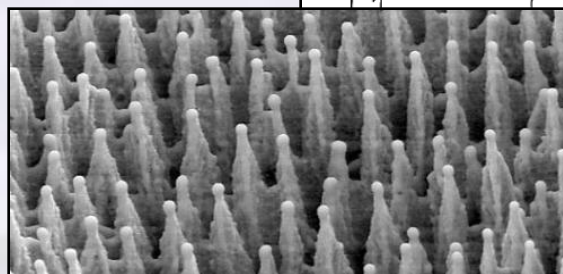
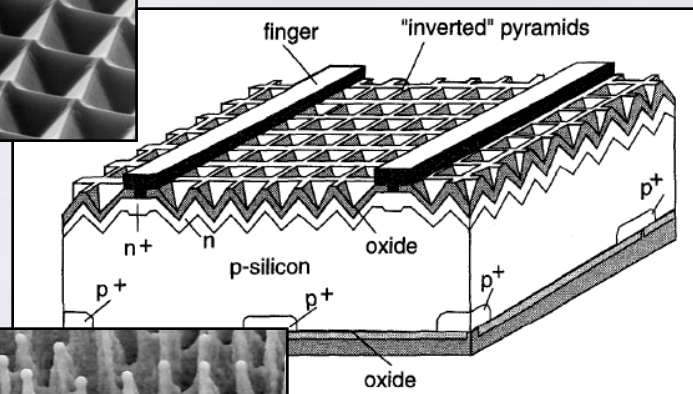
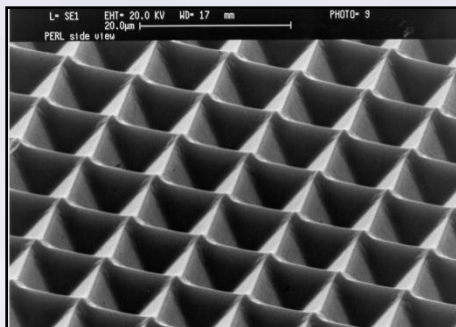


Fraunhofer Institute for Solar Energy Systems





- Increase photon absorption
  - Extend photon lifetime
- Inverted-pyramid
  - Anisotropic chemical etch
- Black Silicon
  - Pulsed-laser etching
- Surface plasmon enhancement
  - Gold nanoparticles



Green, M.A., "High efficiency silicon solar cells," *Optoelectronic and Microelectronic Materials And Devices Proceedings, 1996 Conference on*, vol., no., pp.1-7, 8-11 Dec 1996

E. Mazur - SiOnyx

D. Derkacs, S. H. Lim, P. Matheu, W. Mar, and E. T. Yu, "Improved performance of amorphous silicon solar cells via scattering from surface plasmon polaritons in nearby metallic nanoparticles," *Appl. Phys. Lett.* 89, 093103 (2006)



# 80 years of Progress in Solar Concentrators



3 types of solar-thermal concentrators featured in a 1934 issue of Popular Science Monthly

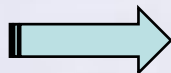


This strange looking machine is a solar engine erected at Pasadena, Calif. The ring of mirrors concentrates sun's energy and the outfit is reported to have produced about four horsepower

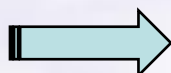


At top, heating water with a solar boiler at the Soviet's experiment station at Tashkent. Above, view of the most successful solar power apparatus thus far made. It was erected near Cairo, Egypt, and uses boilers placed at focus of horizontal reflectors

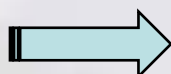
Tracking imagers



Fixed flat panels



Parabolic troughs



APS 1 MWe Solar Power Plant



**Modern advances in photovoltaic technology prompt novel concentrator design**





- 720kW installed since 2003
- 24% efficient silicon solar cells
- 30 parabolic dishes
  - 14m in diameter
- 500x concentration

*Installations:*

*Hermannsburg, Australia*

*Yuendumu , Australia*

*Lajamanu , Australia*

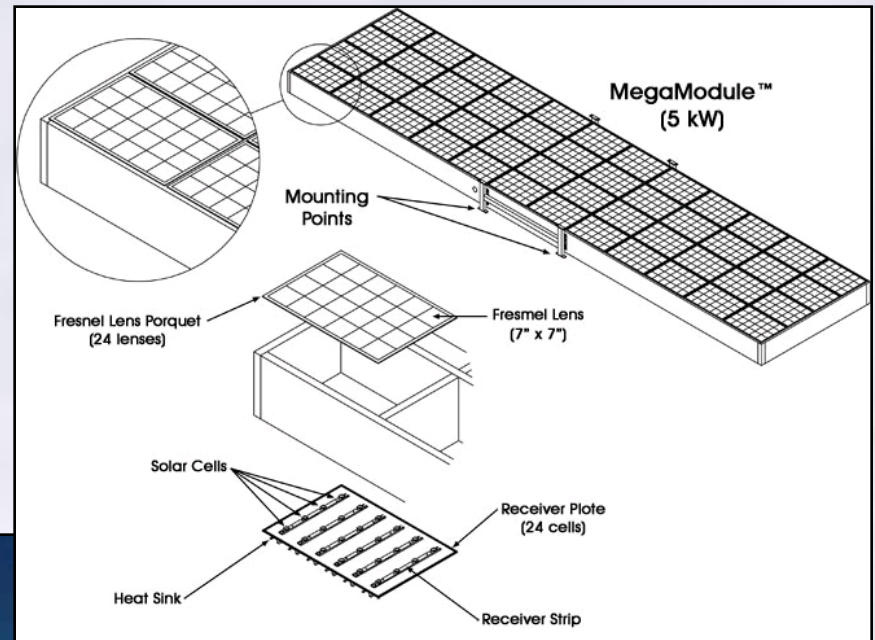
*Umuwa , Australia*



*Images courtesy of Solar Systems, Australia*

# Amonix APS Concentrating Module

- 570kW installed since 2000
  - 5kW “blocks” assembled together
- 3.7GW/hr produced to date
- 26.7% efficient silicon solar cells
- 500x 7”x7” acrylic fresnel lens



*Installations:*  
Glendale, AZ  
Prescott, AZ  
Las Vegas, NV

*Images courtesy of Amonix*



## SolFocus – Mountain View, CA

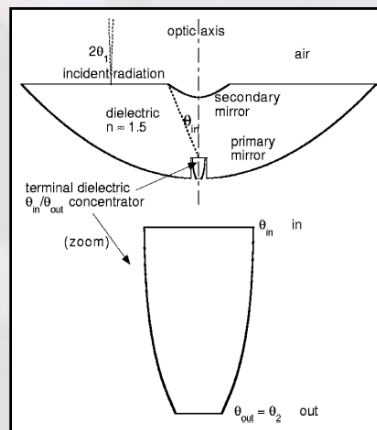
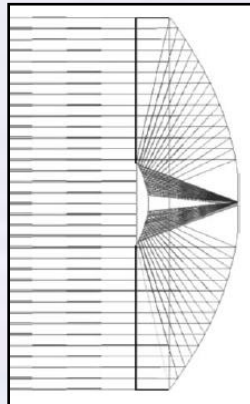
- CPV system
  - 500x Cassegrain telescope
  - Spectrolab 3-junction cells (38%)



Images courtesy of SolFocus

### Installations:

Castilla La Marcha, Spain  
 Palo Alto, CA  
 Fremont, CA  
 Kailua Kona, HI

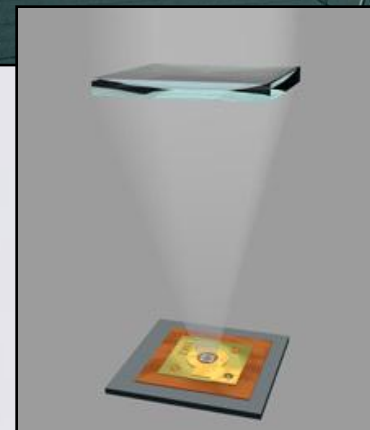


## Concentrix Solar – Freiburg Germany

- FLATCON system
  - 500x silicon film fresnel lens on glass
  - Fraunhofer ISE 3-junction cells (36%)



Images courtesy of Concentrix

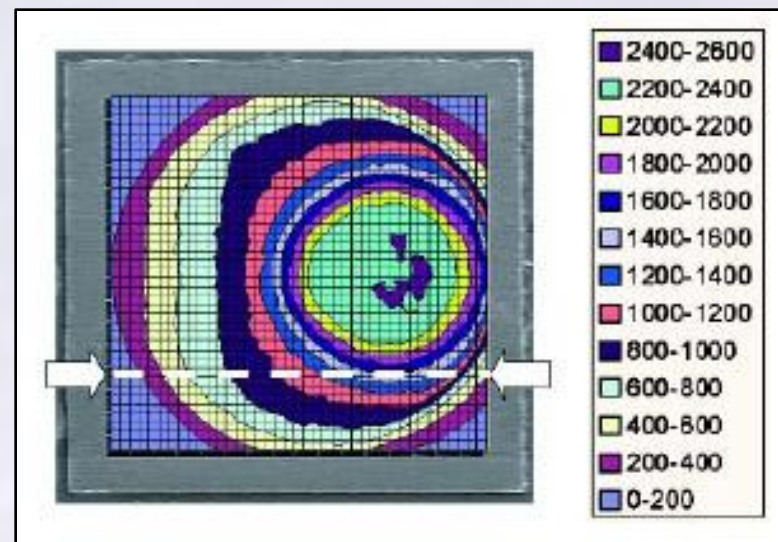


### Installations:

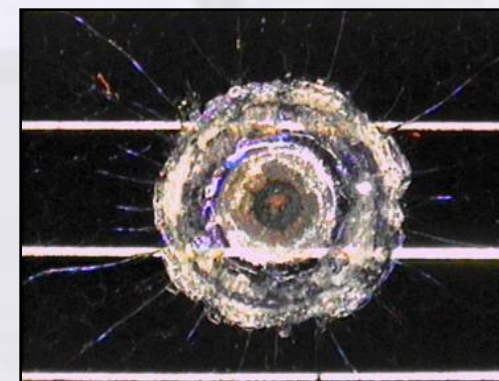
Castilla La Marcha, Spain  
 Seville, Spain  
 Lorca, Spain



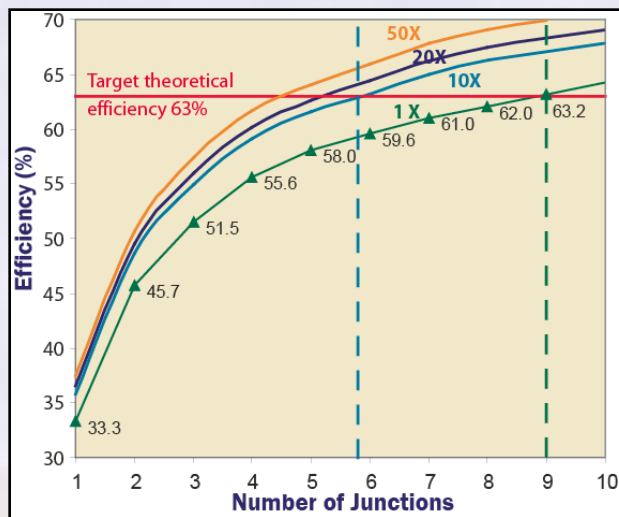
- Non-uniform illumination
  - Tunnel junctions
  - Localized heating
- Material durability
  - Life-time of mirror coatings
  - Plastic degradation
- Real-world test data
  - Failure mechanisms
  - Die-bonding
  - Wind loading
  - Impact resistance
  - Tracking / Misalignment



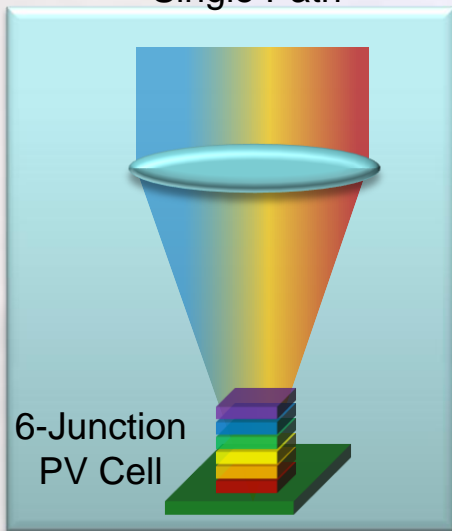
Luque & Andreev, *Concentrator Photovoltaics*, Springer (2007)



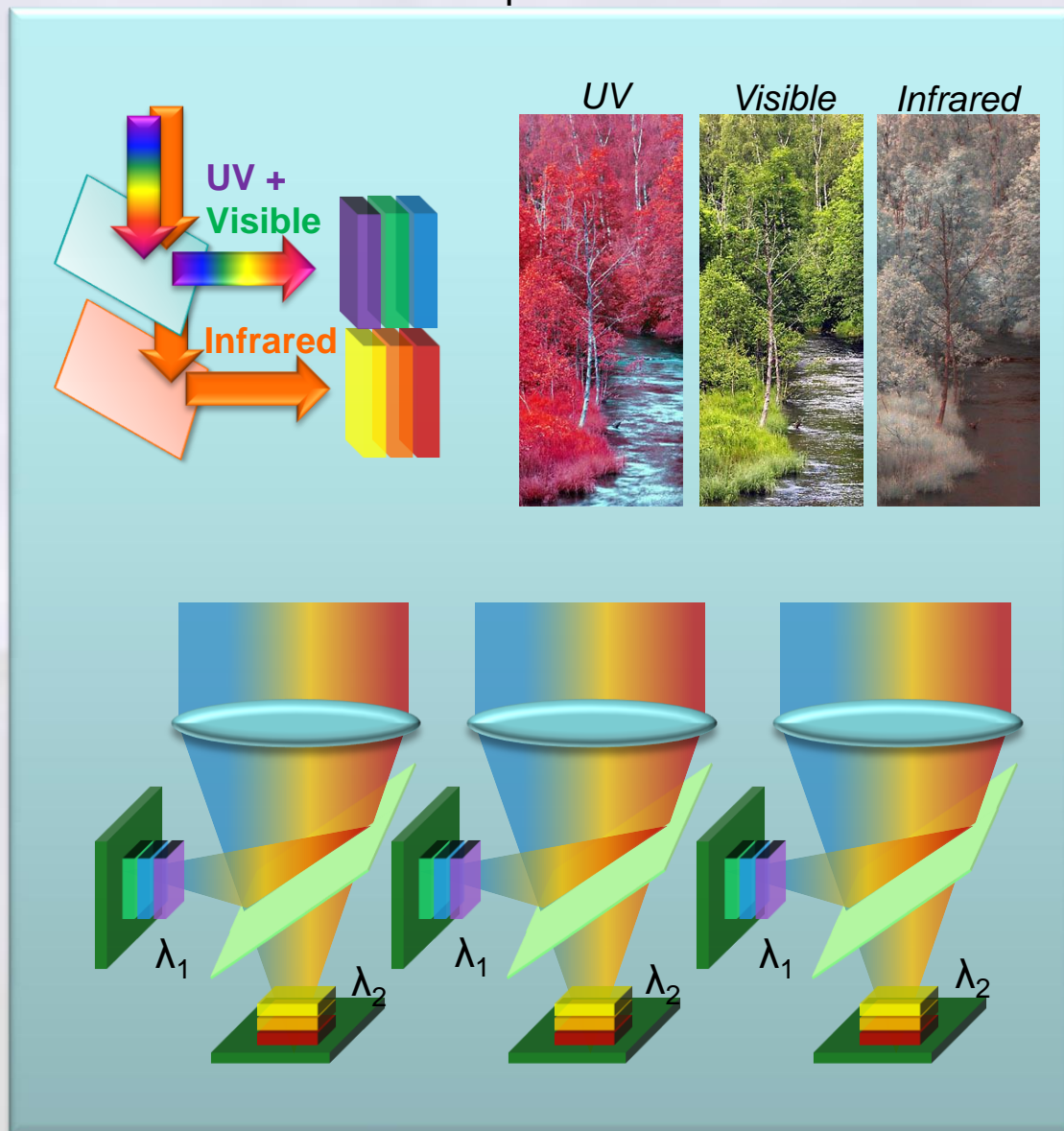
gate.etamax.de



Single Path

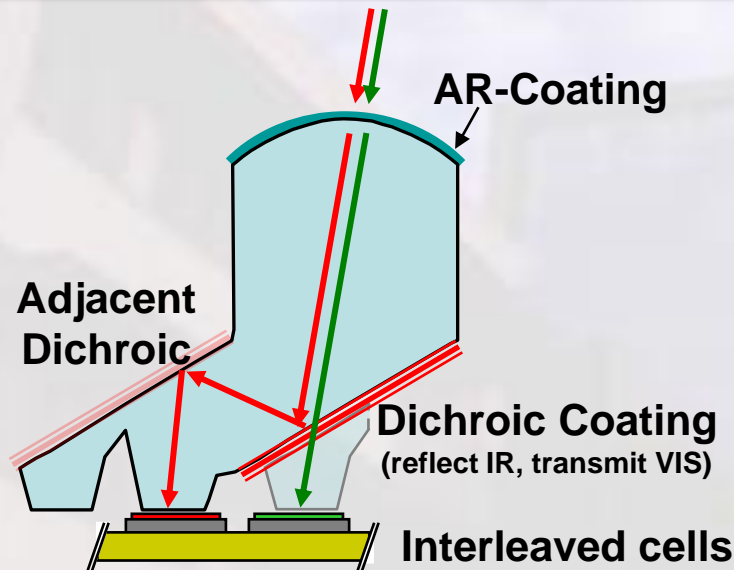
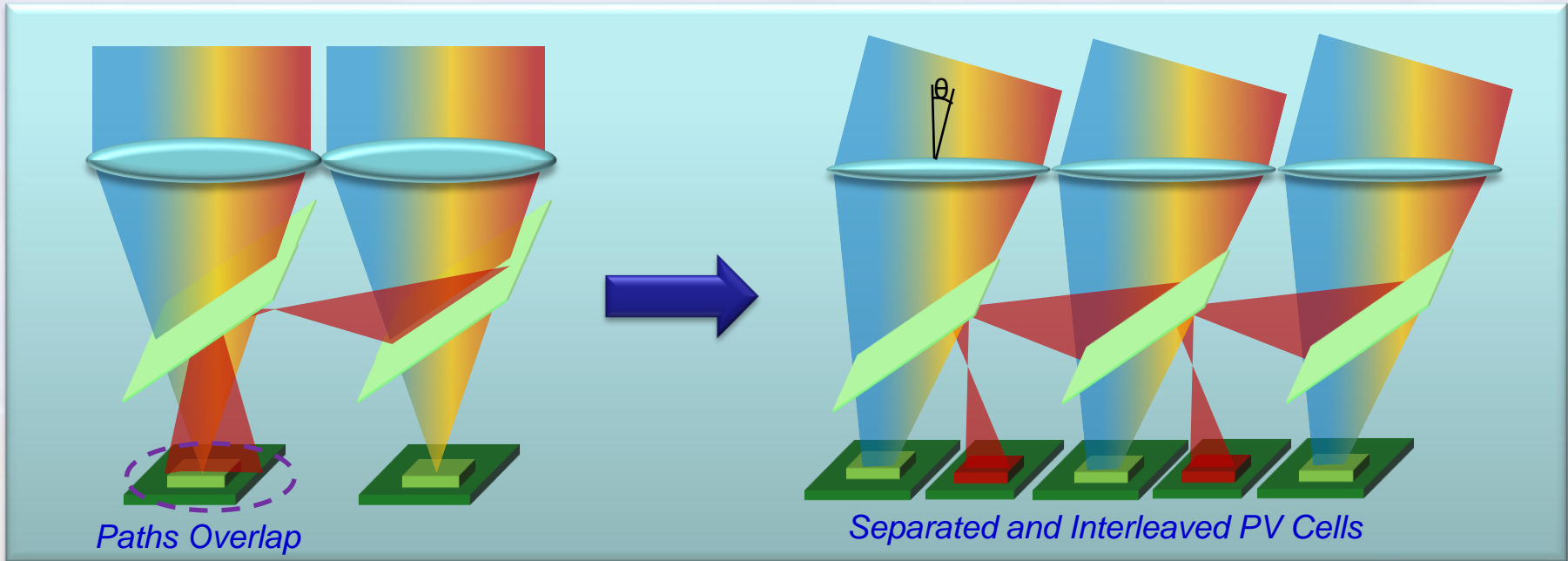


## Dichroic Spectral Division

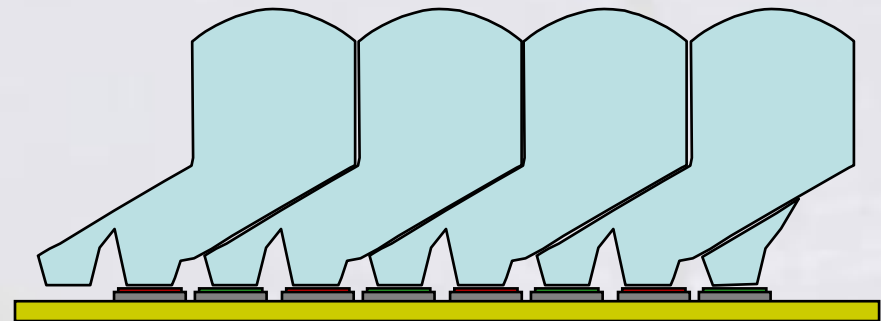


Barnett, A. et al., "50% Efficient Solar Cell Architectures and Designs," Photovoltaic Energy Conversion, Conference Record of the 2006 IEEE 4th World Conference on , vol.2, no., pp.2560-2564, May 2006

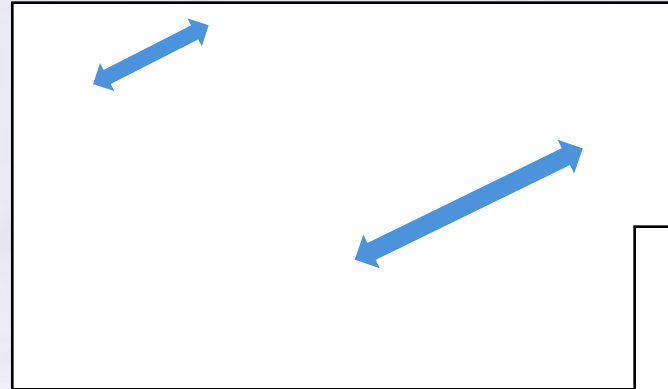
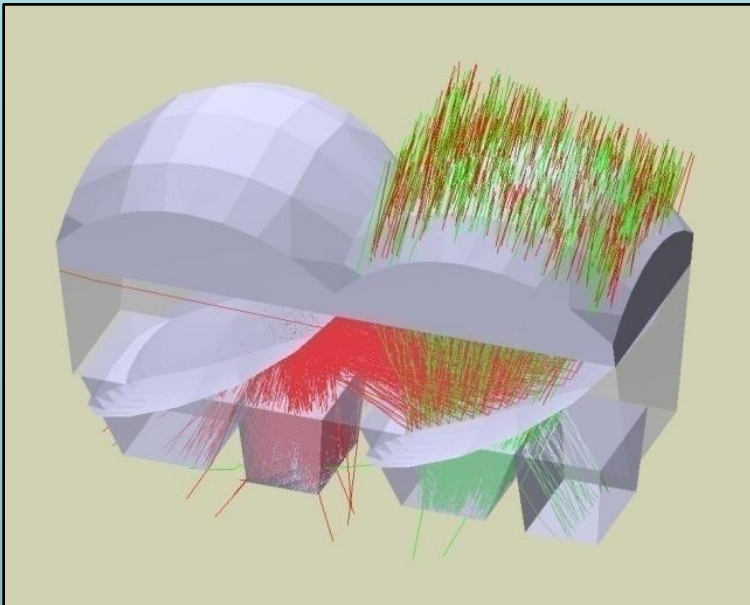
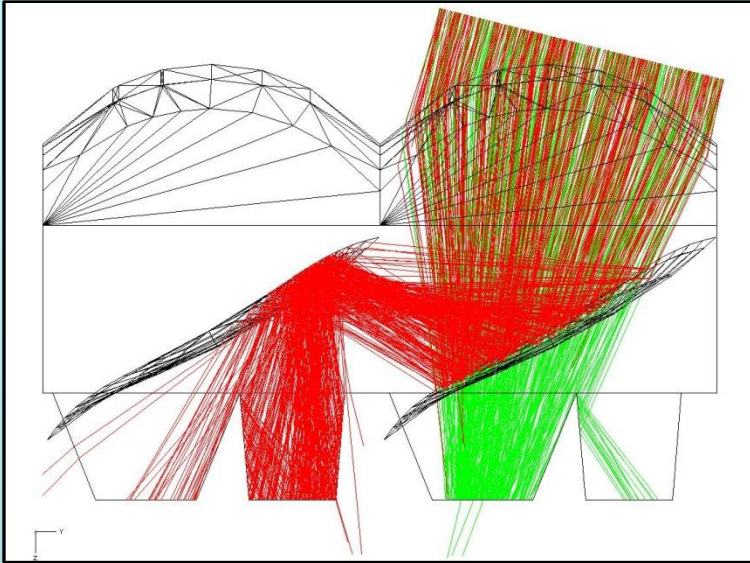




- Single micro-optic incorporates lens and dichroic
- Individual elements fit together to form an array







### Freeform dichroic surface:

- Front and backside illumination
- Optimized "local" regions

### Tapered Sidewalls:

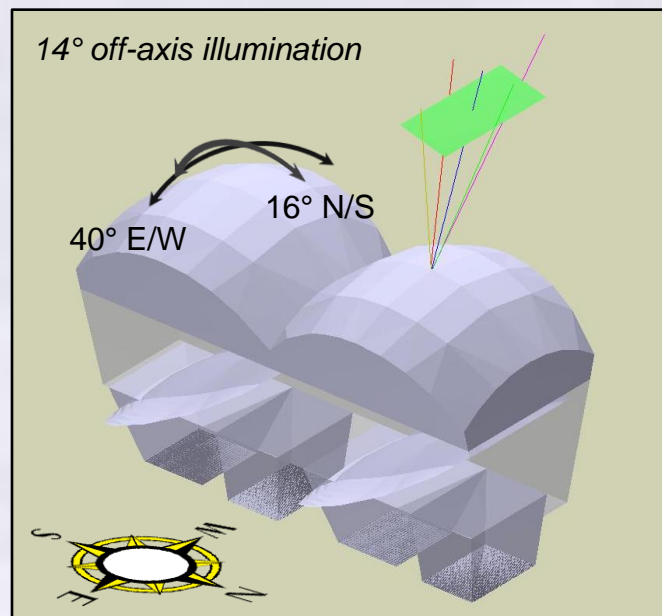
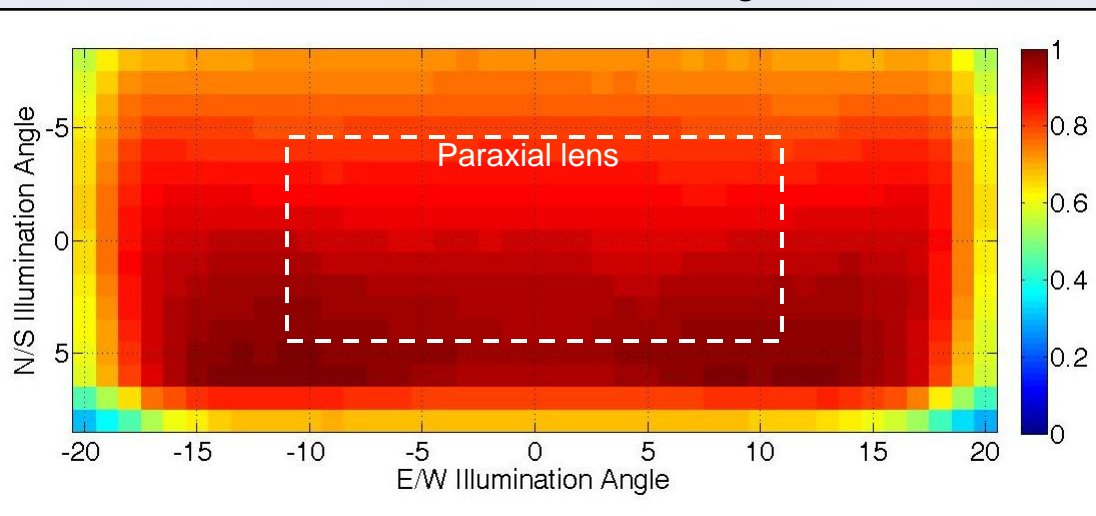
- TIR cone confines wide angles
- All planar surfaces

### • Zemax Non-sequentials:

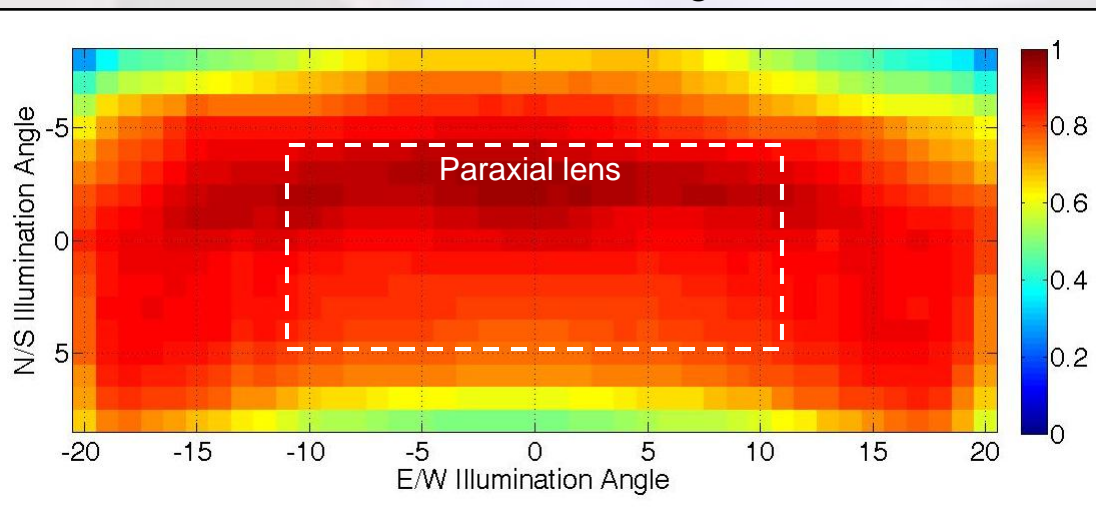
- Rays can: TIR, multiple 'hits', avoid objects, etc.
- Aspheric lens with intermediate focus
- Tapered exit apertures couples to PV cell
  - $<45^\circ$  exiting ray angles



## Transmission Path: 87% Average Collection



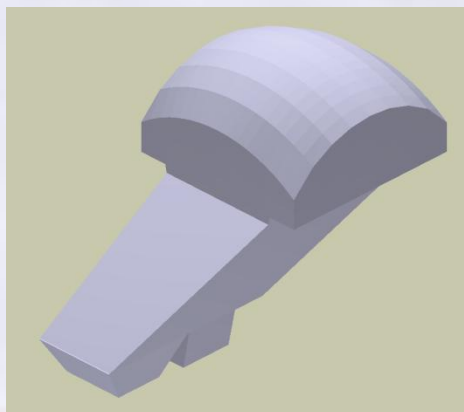
## Reflection Path: 84% Average Collection



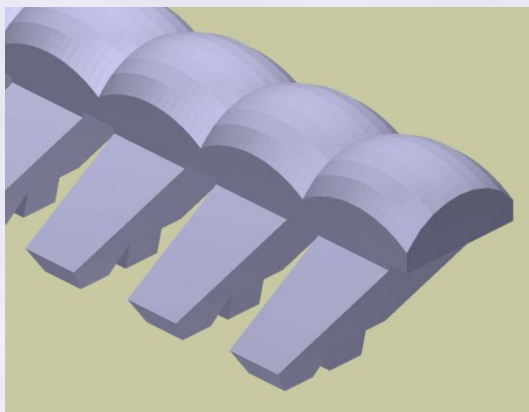
- Transmission:
  - 100% Peak, 87% Average
- Reflection:
  - 96% Peak, 84% Average
- Nonimaging sidewalls minimize 'hot spots' at PV cells
- Paraxial lens equivalent:
  - 22°x10° angular acceptance
  - 60% less light collection



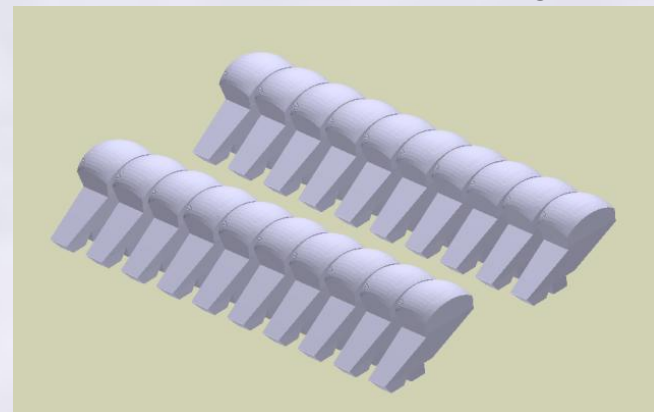
Diamond-Turned Master



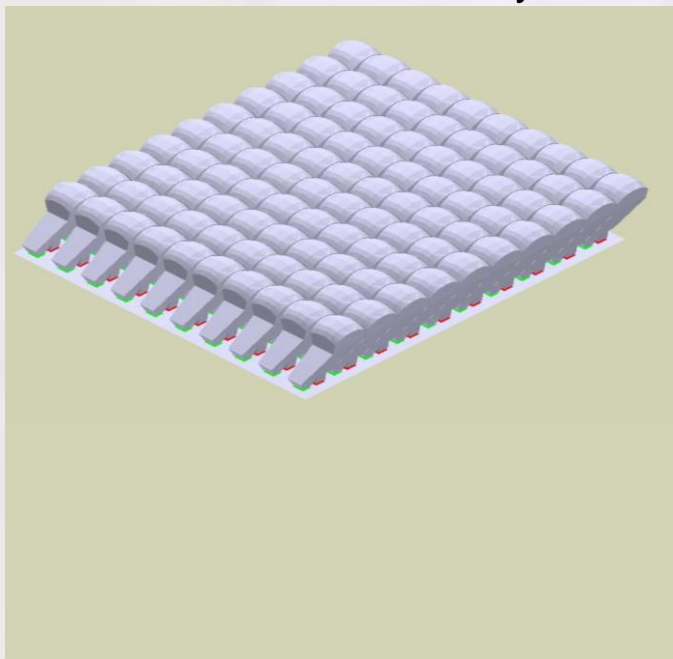
Molded 1D Array



Assemble with Index-matching Epoxy



Assembled PV Array



- Incorporate large number of energy bandgaps
- Spectral splitting simplifies multijunction fabrication
- Double-reflection geometry:
  - Improves packaging
  - Simplifies thermal management
- Single micro-optic designed for array concatenation
- Thin 'sheet' geometry reduces optical volume





## Component Research

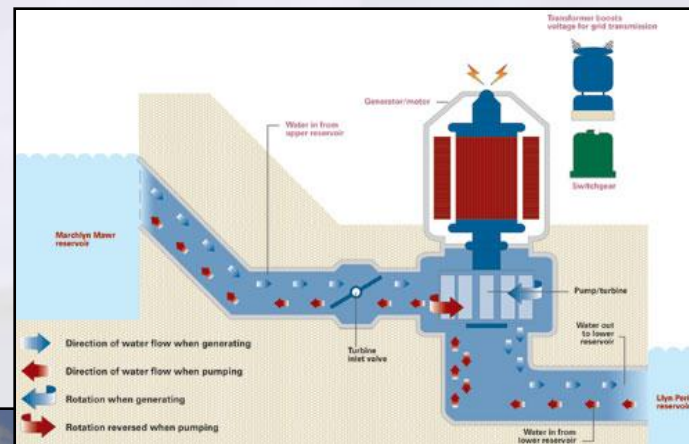
- III-V multijunction materials / fabrication
  - Device performance and reliability
- Concentrator miniaturization
  - Nonimaging / aspheric optics
  - Simplified fabrication and assembly (cost)



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## Systems level research:

- Smart-Grid technology
  - Weather and demand monitoring
- Power transmission / distribution
- Solar energy storage:
  - Thermal storage (molten salt)
  - Pumped water
  - Batteries
- Hybrid power plants
  - CPV, Natural gas, coal



Mediawiki:middlebury.edu  
pumped storage.jpg



www.vnf.com



*Thank You*

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